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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 10087 P04 CIP1

First Inventor or Application Identifier Eduard N. Lerner

Title METHODS AND APPARATUS FOR ENHANCED AND  
CONTROLLED DELIVERY OF A BIOLOGICALLY ACTIVE AGENT  
INTO THE CENTRAL NERVOUS SYSTEM OF A MAMMAL

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 39]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
5. Oath or Declaration [Total Pages 47]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 17 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_ /

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

26486

or ☐ Correspondence address below

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Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Jerry Cohen

Registration No. (Attorney/Agent)

20,522

Signature

Date

January 17, 2002

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

TOTAL AMOUNT OF PAYMENT (\$ 901

Application Number  
Filing Date  
First Named Inventor Eduard N. Lerner  
Examiner Name  
Group / Art Unit  
Attorney Docket No. 10,087 P04 CIP1

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		3. ADDITIONAL FEES	
Deposit Account Number 03-2410		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)
Deposit Account Name Perkins, Smith & Cohen, LLP		Fee Description	Fee Paid
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		105 130 205 65	Surcharge - late filing fee or oath
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		127 50 227 25	Surcharge - late provisional filing fee or cover sheet.
2. <input checked="" type="checkbox"/> Payment Enclosed:		139 130 139 130	Non-English specification
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		147 2,520 147 2,520	For filing a request for reexamination
FEE CALCULATION		112 920* 112 920*	Requesting publication of SIR prior to Examiner action
1. BASIC FILING FEE		113 1,840* 113 1,840*	Requesting publication of SIR after Examiner action
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710 201 355	Utility filing fee	115 110 215 55	Extension for reply within first month
106 320 206 160	Design filing fee	116 390 216 195	Extension for reply within second month
107 490 207 245	Plant filing fee	117 890 217 445	Extension for reply within third month
108 710 208 355	Reissue filing fee	118 1,390 218 695	Extension for reply within fourth month
114 150 214 75	Provisional filing fee	128 1,890 228 945	Extension for reply within fifth month
SUBTOTAL (1) (\$ 370		119 310 219 155	Notice of Appeal
2. EXTRA CLAIM FEES		120 310 220 155	Filing a brief in support of an appeal
Total Claims 31 -20 = 11	Extra Claims Fee from below 9 = 99	121 270 221 135	Request for oral hearing
Independent Claims 9 -3 = 6	Extra Claims Fee from below 42 = 252	138 1,510 138 1,510	Petition to institute a public use proceeding
Multiple Dependent 1	Extra Claims Fee from below 140 = 140	140 110 240 55	Petition to revive - unavoidable
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	141 1,240 241 620	Petition to revive - unintentional
103 18 203 9	Claims in excess of 20	142 1,240 242 620	Utility issue fee (or reissue)
102 80 202 40	Independent claims in excess of 3	143 440 243 220	Design issue fee
104 270 204 135	Multiple dependent claim, if not paid	144 600 244 300	Plant issue fee
109 80 209 40	** Reissue independent claims over original patent	122 130 122 130	Petitions to the Commissioner
110 18 210 9	** Reissue claims in excess of 20 and over original patent	123 130 123 130	Petitions related to provisional applications
SUBTOTAL (2) (\$ 491		126 180 126 180	Submission of Information Disclosure Stmt
**or number previously paid, if greater; For Reissues, see above		581 40 581 40	Recording each patent assignment per property (times number of properties)
		146 710 246 355	Filing a submission after final rejection (37 CFR § 1.129(a))
		149 710 249 355	For each additional invention to be examined (37 CFR § 1.129(b))
		179 710 279 355	Request for Continued Examination (RCE)
		169 900 169 900	Request for expedited examination of a design application
		Other fee (specify)	
		*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 40	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type) Jerry Cohen	Registration No. Attorney/Agent) 20,522	Telephone 617-854-4000	
Signature		Date 1/17/2002	

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